

Litman Gregory Masters Funds

IRA Transfer Form

If this is for a new IRA Account – an IRA Application must accompany this form. Complete this form to transfer existing IRAs and direct rollovers from qualified retirement plans. For help with this form or for more information, call 800-960-0188.

Note: There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator to determine the applicable penalty, if any. Please send all transfer requests at least 3 weeks before maturity to allow for proper time limitations.

Your mutual fund account may be transferred to your state of residence if no activity occurs within your account during the inactivity period specified in your state's abandoned property laws.

PLEASE PRINT. Remember to complete and sign sections 8 and 9 on the reverse side of this form. Please include a copy of your current account statement.

1. ACCOUNT REGISTRATION

Owner's name (first, initial, last)

Owner's social security number

2. ADDRESS

Address

City, State, Zip

Daytime phone

Evening phone

E-mail address

3. INSTRUCTIONS TO CURRENT IRA CUSTODIAN OR PLAN ADMINISTRATOR

Current Custodian or Plan Administrator

Address

City, State, Zip

Contact Person

Daytime phone

Fund Name

Account #

Account number

Consider this your authorization to send my IRA or my distribution from my qualified retirement plan:

All Assets OR \$ _____ OR _____%

Please process this request:

immediately OR at maturity _____
(month/day/year)

Note: All assets are to be sold immediately if no selections are made above.

Send the check representing the assets payable to Litman Gregory Masters Funds, along with a copy of this form to the address below:

Litman Gregory Masters Funds

FBO

(Owner's name)

c/o Boston Financial Data Services

P.O. Box 219922

Kansas City, MO 64121-9922

4. INVESTMENT CHOICES

Note: Per Fund minimum initial investment is \$5,000. An IRA Account Application must be completed to process this transfer if a new account is being established.

\$/% Litman Gregory Masters Equity Fund (#305)

\$/% Litman Gregory Masters International Fund (#306)

\$/% Litman Gregory Masters Smaller Companies
Fund (#308) (Initial Investment is \$1,000)

\$/% Litman Gregory Masters Alternative Strategies
Fund (#421)

\$/% Total Investment

5. ACCOUNT TYPE

Refer to disclosure statement for eligibility requirements.

Traditional IRA Account

IRA to IRA Trustee-to-Trustee Transfer

IRA Rollover Account

Rollover IRA to Rollover IRA Trustee-to-Trustee Transfer

Direct rollover from qualified plan – complete any additional form(s) required by your Plan Administrator

Corporate Pension PSP 401(k) 403(b)

Other _____

ROTH IRA Account

Roth IRA to Roth IRA Trustee-to-Trustee Transfer

SEP (Simplified Employee Pension Plan)

Trustee-to-Trustee Transfer from an IRA or SEP IRA Account

6. CONVERSION OF TRADITIONAL IRA TO ROTH IRA

Check here if you are distributing assets from a Traditional IRA with the intention of establishing a Conversion Roth IRA.

7. EXISTING LITMAN GREGORY MASTERS IRA ACCOUNT

I already have an IRA account with Litman Gregory Masters Funds and the account number is:

Shareholder Account # _____
(account number)

